Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES R-0602Y DESIGNATED/ELECTED OFFICE (DO/EO/US) see 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CL PCT/JP2004/014005 Sep-16-2004 Sep-30-2003 TITLE OF INVENTION Heat Exchanger Tube APPLICANT(S) FOR DO/EO/US Soich KATO Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. X An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). \mathbf{X} An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 10. Items 11 to 20 below concern document(s) or information included: 11. 🛛 An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. X An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. 🗀 A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. L A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burner, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

IAP9 Rec'dPCT/PTO 2 3 MAR 2006

PTC-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION N			1.		ATTORNEYS DOC	VE I NOWBEK	
- FI (11572	8 N 77	PCT/JP2004	/014005	R-0602Y		
20. Other items or information:							
The following	fees have been	submitted			CALCULATIONS	PTO USE ONLY	
The following fees have been submitted 21. A Basic national fee (37 CFR 1.492(a)) \$300					\$ 300	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
If the written opinion pr	on fee (37 CFR 1 repared by ISA/L dicates all claims	_{\$} 20 ⁰					
23. Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority					s 400		
TOTAL OF 24 22 and 22 =					900		
TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets Extra	Sheets Number of each additional 50 or fraction thereof (round up to a whole number)			RATE			
- 100 =	/50 =			x \$250	\$	1	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).							
CLAIMS	AIMS NUMBER FILED		NUMBER EXTRA RATE		\$		
Total claims	if 6-20 =		26	x \$ 50	\$ 1300		
Independent claims 3 - 3 =		0	x \$200	\$ O			
MULTIPLE DEPENDE	NT CLAIM(S) (if	+ \$360	\$ 0				
TOTAL OF ABOVE CALCULATIONS =					\$ 1,300		
Applicant claims sr	mall entity status						
		\$					
Processing fee of \$130 claimed priority date (3		\$	· · · · · · · · · · · · · · · · · · ·				
		\$ 2200					
Fee for recording the e		\$ 40					
	\$ 2240						
					Amount to be refunded:	\$	
					Amount to be charged	\$	

10/573077

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

a. 🔲	A Glock in the carrot	above fees is enclosed. It of \$ to cover the above fees.				
ь. Ш	A duplicate copy of this sheet is enclosed.	······································				
c. 🗀	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 20 66 60. A duplicate copy of this sheet is enclosed.					
d. 🔯	be included on this form. Provide credit card information and destroyers					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
SEND A	ALL CORRESPONDENCE TO:	2. Jakench'				
		Yusuke TAKEUCHI				
	TAKEUCHI & KUBOTERA, LLP	30,921 REGISTRATION NUMBER				
	Suite 202 200 Daingerfield Road Alexandria, VA 22314					
1						